TRANSMITTAL LETTER

Serial No.
09/909,088

Inventor(s)
Ashkenazi et al.

Title of Invention
SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

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| TO THE COMMISSIONER FOR PATENTS | | | | | | | | | | |
| Transmitted herewith is a Statement According to 37C.F.R. § 1.821(f): py of Sequence Listing in computer readable form; copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures and return postcard. | | | | | | | | | | |
| | Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted. | | | | | | | | | |
| | A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed. | | | | | | | | | |
| | Petition for amonth extension of time. | | | | | | | | | |
| \boxtimes | No additional fee is required. | | | | | | | | | |
| | The fee has been calculated as shown below: | | | | | | | | | |
| | Other Than Small Entity Small Entity | | | | | | | | | |
| , | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'I Fee | or | Rate | Add'l Fee | |
| Total | | Minus | | | x \$9 = | | | x \$18= | | |
| Indep. | | Minus | | | x 42 = | | | x \$84= | | |
| First Pre | sentation of Mult | tiple Dep. C | laim | | + \$140 = | | | + \$280= | | |
| • | | | | | Total add'I fee | \$ | | Total add'l fee | \$ | |
| | Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| | A check in the amount of \$ to cover the filing fee is enclosed. | | | | | | | | | |
| | The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| | I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| | Respectfully submitted, | | | | | | | | | |
| | Gregory M. Zinki, Ph.D. Registration No. 48,492 | | | | | | | | | |
| Agent for Applicant BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200 | | | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail, label no. EL 398314070US, with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on Commissioner for Patents, Washington, Washington | | | | | | | | | | |
| Date: 🔼 | 110/02 | Signat | ure: JMD | / | | | | | | |
| rev. Dec00 | | | | | | | | | | |



United States Patent and Trademark Office



| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 220,000,00 | 07.18.2001 | Ani Anthonesi | 01/1002/22/ |

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 $0.118\ 2001$

Avı Ashkenazi

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Paul E. Rauch, Ph D Brinks, Hofer, Gilson & Lione NBC Tower - Suite 3600 455 Cityfront Plaza Drive Chicago, IL 60611-5599

CONFIRMATION NO. 1981 FORMALITIES LETTER

Date Mailed 11/26/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a)

 A request to transfer the computer readable form from another application on file at the U.S. Patent and Trademark Office has been submitted as permitted by 37 C.F.R. 1.821(e). However, the request cannot be complied with since there is no compliant CRF present at the United States Patent and Trademark Office. Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d),

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